



INACTIVE / DORMANT CLIENT POLICY

Any client who does trade during Six months is shall be considered as an "in-active client "or dormant client.

- A list of inactive clients shall be prepared from the back office software on the last day of every month and shall be submitted to the concerned department after confirmation with the management. The management will approve a final list of inactive clients.
- A copy of the list is also forwarded to RMS who operates our ODIN terminals.
- After inactive marking, if any orders are received, the dealer shall take reasonable steps to identify the identity of the client and to ensure that the orders are received from the same client. The dealer shall use various techniques like call back, asking personal detail Questions, last trade date etc to confirm the identity of the caller. They may use any other technique which is reasonable. In case of a doubt the case shall be referred to the management.
- Dormant client has to update their KYC details at the time of fresh order, if required.
- All Credit Balances Lying in Inactive accounts will be paid back to the respective clients once in a Half year after clearing the dues if any.

Designated Principal Officer:

In case any further information / Clarification are required in this regard, the principal officer maybe contacted

Name: Rattan Lal Aggarwal

Office address: 124-125/A New Grain Market Hisar, Haryana-125001

Phone No(s): 01662-275557

E-mail address: info@shriramcommodities.com

For: Shri Ram Commodities

For Shri Ram Commodities

Two handwritten signatures in blue ink are visible. The first signature is "R. L. Aggarwal" and the second is "Ramesh Bansal".

Managing Partner

**Rattan Lal Aggarwal & Ramesh Bansal
(Managing Partner)**